



**Indiana Finance Authority
FFY19 Work Plan ADDENDUM for U.S. EPA Brownfields Revolving Loan Fund
Supplemental Assistance Amendment # BF-00E48101-B**

This project supports the Environmental Results Goal 4, Healthy Communities and Ecosystems, Objective: 4.2; Sub Objective: 4.2.3 at the time of the award [currently Goal 3 (Cleaning Up Communities and Advancing Sustainable Development), Objective 3.1 (Promote Sustainable and Livable Communities)]. Specifically, the recipient will capitalize a revolving loan fund and/or make subgrants to carry out cleanup activities to encourage revitalization and reuse of brownfields sites. Project Period is 5 years, with allowable extensions as necessary and granted.

CFDA: 66.818

CERCLA Authority: 104(k)(2)&(3) -The Small Business Liability Relief and Brownfields Revitalization Act (2002 Brownfields Law)

DCN: STX

Budget: FY 19

Appropriation: E4

Budget Org: 05F2AG7

Object Class: 4114

Program Results Code (PRC): Hazardous Sub 402D79E (Action Code: OL)

Petroleum 402D79EBP (Action Code: OS)

Project Period: June 1, 2008 – September 30, 2021*

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*The Indiana Finance Authority (Authority) is requesting a 1-year project period extension with a new end date of September 30, 2021, in light of receiving a 2019 RLF Supplemental Hazardous Substances funding award of \$450,000 (plus \$90,000 Authority cash match) in order to complete the anticipated subgrant and/or loan activities.

Proposed Outputs & Outcomes

The primary expected output of the Indiana Brownfields Program (Program)'s 2019 RLF Supplemental Hazardous Substances Funding award will be the issuance of nine (9) subgrants/subawards (or a loan(s)) for 6 communities to remediate hazardous substances-contaminated brownfield sites.

The primary expected outcome will be the successful remediation of nine (9) hazardous substances-contaminated brownfield properties so that they are ready for redevelopment.

Potential subgrantees/borrowers will submit an application to the Program demonstrating subgrantee/borrower and site eligibility prior to being awarded RLF hazardous substances funding. RLF subgrant/loan awardees will enter into an RLF Subgrant and/or Loan Agreement with the Authority addressing the conditions of the Subgrant and/or Loan. For Subgrants, waiver requests to exceed the \$200,000 subgrant cap will be made to U.S. EPA as needed.

Target Completion Date: All RLF Supplemental Hazardous Substances funding will be obligated by December 30, 2019 and expended by September 30, 2021.

Indiana Brownfield Projects Needing RLF Supplemental Funding

Project Name	Location	Form of Funding (Loan, Subaward, or Combo); Amount (\$) Needed	Status/Timeline	Firm Leveraging Commitments and Potential Leveraging	Anticipated Reuse and Outcomes
Verma	City of LaPorte	Loan and/or Subaward; \$1M-\$5M	City needs financial assistance to remove metals-contaminated soil (foundry waste). Projected interim milestone due dates: January 1, 2020 – consultant bids/contracts in place April 1, 2020 – public notice of U.S. EPA-required documents completed April 15, 2020 – site field work begins April 1, 2021 – remediation completion report submitted May 1, 2021 –	<i>Firm:</i> U.S. EPA Assessment \$ <i>Potential:</i> TBD	Retail.

			state closure letter completed		
Pierson Cleaners	City of Indianapolis	Loan and/or Subaward; \$300,000	PCE in soil and groundwater. Removal of an estimated 1,000 tons of contaminated soil and groundwater injections to reduce concentrations proposed. Projected interim milestone due dates: January 1, 2020 – consultant bids/contracts in place April 1, 2020 – public notice of U.S. EPA-required documents completed April 15, 2020 – site field work begins April 1, 2021 – remediation completion report submitted May 1, 2021 – state closure letter completed	<i>Potential:</i> Program \$	TBD.
Metal Koate	Town of Summitville	Loan and/or Subaward; \$500,000	TCE contamination in soil and TCE, PCE, lead & VC contamination in groundwater. 2012 cost estimate of \$604,029 for soil treatment & disposal and soil & concrete basin removal. 2008 cost estimate of \$383,103.10 for soil removal, HRC injection, additional well installation &	<i>Potential:</i> Program \$	TBD.

			<p>groundwater monitoring.</p> <p>Projected interim milestone due dates:</p> <p>January 1, 2020 – consultant bids/contracts in place</p> <p>April 1, 2020 – public notice of U.S. EPA-required documents completed</p> <p>April 15, 2020 – site field work begins</p> <p>September 1, 2021 – remediation completion report submitted</p> <p>September 30, 2021 – state closure letter completed</p>		
The Butler Company	City of Butler	Subaward; \$200,000	<p>Removal/ remediation of metals in soils, hazardous substances debris piles, and disposal of containerized chemical and possible petroleum materials.</p> <p>Projected interim milestone due dates:</p> <p>January 1, 2020 – consultant bids/contracts in place</p> <p>April 1, 2020 – public notice of U.S. EPA-required documents completed</p> <p>April 15, 2020 – site field work begins</p> <p>April 1, 2021 –</p>	<p><i>Firm:</i> Program Phase I ESA Initiative and 128(a) funding</p> <p><i>Potential:</i> U.S. EPA MPG \$</p>	TBD.

			remediation completion report submitted May 1, 2021 – state closure letter completed		
	Total	>\$450,000			

Budget Update:

The following information summarizes the Program's RLF funding balances, including the new \$540,000 Supplemental Funding award (including the Authority's 20% cost share). On the following page is a Budget Table which indicates that 100% of the latest RLF Supplemental Funding award will be spent on site-specific work/remedial activities.

Amount of Available RLF Funding and Current Commitments (including latest \$540,000):

- Amount of unspent grant funding from the Program's open RLF grant is \$2,615,712 (includes \$2,053,219 RLF and \$562,493 cost share)
- Amount of available or unspent accrued program income from the Program's open RLF grant is \$954,223
- Current amount of undrawn commitments for loans, subawards/subgrants and programmatic costs is \$3,341,425

The total amount of uncommitted funds based on the following formula: \$228,510

Unspent Grant Funding + Unspent Program Income (PI) – Committed Funds = X
 \$2,615,712 + \$954,223 – \$3,341,425 = \$228,510

**Budget for FFY19 RLF Supplemental Hazardous Funding Award (consistent with
Standard Form 424 – Budget Information – Non-Construction Programs)**

Budget Categories	Project Tasks for Cleanup Loans (≥50% of available funds; incl. cash match for 20% cost share)					
	TASK 1: Maintain RLF	TASK 2: Market RLF/Community Involvement	TASK 3: Make Awards Hazardous Substances Petroleum		TASK 4: Oversee Cleanup & Report to U.S. EPA	Total
Personnel	IN KIND	IN KIND	IN KIND	IN KIND	IN KIND	IN KIND
Fringe Benefits	IN KIND	IN KIND	IN KIND	IN KIND	IN KIND	IN KIND
Travel	IN KIND	IN KIND	IN KIND	IN KIND	IN KIND	IN KIND
Supplies	IN KIND	IN KIND	IN KIND	IN KIND	IN KIND	IN KIND
Other	IN KIND	IN KIND	\$0	0	IN KIND	\$0
Sub Total	0	0	\$0	0	0	\$0
20% Cost Share	0	0	\$0	0	0	\$0
Budget Categories	Project Tasks for Cleanup Discounted Loans &/or Subgrants (≤50% of available funds; incl. cash match for 20% cost share) (subgrant &/or forgivable loan component, \$200k cap per subgrant/loan)					
	TASK 1: Maintain RLF	TASK 2: Market RLF/Community Involvement	TASK 3: Make Awards Hazardous Substances Petroleum		TASK 4: Oversee Cleanup & Report to U.S. EPA	Total
Personnel	IN KIND	IN KIND	IN KIND	IN KIND	IN KIND	IN KIND
Fringe Benefits	IN KIND	IN KIND	IN KIND	IN KIND	IN KIND	IN KIND
Travel	IN KIND	IN KIND	IN KIND	IN KIND	IN KIND	IN KIND
Supplies	IN KIND	IN KIND	IN KIND	IN KIND	IN KIND	IN KIND
Other (Subgrant &/or Forgivable Loan Amount)	IN KIND	IN KIND	\$0	\$0	IN KIND	\$0
Sub Total	0	0	\$450,000	\$0	0	\$450,000
20% Cost Share	0	0	\$90,000	\$0	0	\$90,000
Total RLF	0	0	\$450,000	\$0	0	\$450,000
Total Cost Share	0	0	\$90,000	\$0	0	\$540,000
Total Available Loan Funds	0	0	\$540,000	\$0	0	\$540,000

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